

ALBERTA SECURITIES COMMISSION
VARIATION OF BLANKET ORDER 13-503

Citation: Re Temporary Exemption from Requirements to Manually Sign Documents,
2022 ABASC 36 Date: 20220426

Background

1. Blanket Order 13-503 *Temporary Exemption from Requirements to Manually Sign Documents* (**Blanket Order**) was adopted as a result of the challenges posed by the coronavirus 2019 (**COVID-19**) pandemic for certain persons or companies in complying with the manual signature requirements specified in the Blanket Order.
2. The relief set provided by the Blanket Order was to expire on April 30, 2021.
3. The Alberta Securities Commission (the **Commission**) being satisfied that it was not prejudicial to the public interest to do so, ordered under section 214(1) of the *Securities Act* (Alberta) (**Act**) that the Blanket Order be varied such that it was extended from April 30, 2021 to April 30, 2022, pursuant to Variation of Blanket Order 13-503 2021 ABASC 48.
4. Unless extended, the relief provided by the Blanket Order will expire on April 30, 2022.

Order

5. The Commission, considering that it would not be prejudicial to the public interest to do so, orders under section 214(1) of the Act, that, paragraph 7 of the Blanket Order is varied by replacing "April 30, 2022" with "the earlier of April 30, 2023 and the effective date of any amendment to the Manual Signature Requirement that is substantially similar to this Order".

For the Commission:

"original signed by"

Tom Cotter
Vice-Chair

"original signed by"

Kari Horn
Vice-Chair

ALBERTA SECURITIES COMMISSION

VARIATION OF BLANKET ORDER 13-503

Citation: Re Temporary Exemption from Requirements to Manually Sign Documents, 2021 ABASC 48 Date: 20210419

Background

1. Blanket Order 13-503 *Temporary Exemption from Requirements to Manually Sign Documents* (**Blanket Order**) was adopted as a result of the challenges posed by the coronavirus 2019 (**COVID-19**) pandemic for certain persons or companies in complying with the manual signature requirements specified in the Blanket Order.
2. The COVID-19 pandemic continues to pose these challenges.
3. Unless extended, the relief provided by the Blanket Order will expire on April 30, 2021.

Order

4. The Alberta Securities Commission, considering that it would not be prejudicial to the public interest to do so, orders under section 214(1) of the *Securities Act* (Alberta) that paragraph 7 of the Blanket Order is varied by replacing "April 30, 2021" with "April 30, 2022".

For the Commission:

"original signed by"

Tom Cotter
Vice-Chair

"original signed by"

Kari Horn
Vice-Chair

ALBERTA SECURITIES COMMISSION
BLANKET ORDER 13-503

**Citation: Re Temporary Exemption from Requirements to Manually Sign Documents,
2020 ABASC 53** **Date: 20200427**

Definitions

1. Terms defined in the *Securities Act* (Alberta) (the **Act**) and the *Alberta Securities Commission Rules* (General) (the **Rules**) have the same meaning in this Order.
2. In this Order, "electronic signature" means electronic information that a person creates or adopts in order to sign a document and that is in, attached to or associated with the document.

Background

3. As a result of the coronavirus disease 2019 (**COVID-19**) outbreak, which was declared a pandemic by the World Health Organization on March 11, 2020 and led to the declaration of a "public health emergency" under the *Public Health Act* (Alberta) by the Lieutenant Governor of Alberta on March 17, 2020, the Alberta Securities Commission (the **Commission**) acknowledges that this pandemic may present challenges for market participants in the meeting of certain obligations under Alberta securities laws.
4. Specifically, as a result of the COVID-19 pandemic, it may be challenging for certain persons or companies to comply with the requirements in sections 28(1)(a), (b) and (c) of the Rules, which, except as otherwise provided under Alberta securities laws, require every document that is required or permitted to be filed with the Commission or the Executive Director and that must be signed or certified to be manually signed (the **Manual Signature Requirement**).
5. This Order serves as the Commission's explicit consent, subject to the conditions contained herein, to the use of an electronic signature in lieu of a manual signature.
6. Under section 213 of the Act, the Commission may by order exempt any class or classes of persons or companies from all or any provision of Alberta securities laws.

Order

7. Considering that it would not be prejudicial to the public interest, the Commission orders that any person or company required to comply with the Manual Signature Requirement is exempted from that requirement for the period from April 27, 2020 to April 30, 2021, provided that the document is signed using an electronic signature that:
 - (a) together with any accompanying information, is capable of identifying the signatory;
 - (b) is unique to the signatory; and

(c) is created using means that the signatory can maintain under the signatory's sole control.

8. This Order comes into effect on April 27, 2020.

For the Commission:

“original signed by”

Tom Cotter
Vice-Chair

“original signed by”

Kari Horn
Vice-Chair