

ALBERTA SECURITIES COMMISSION

INTERIM ORDER

Citation: Re Hunter, 2023 ABASC 56

Date: 20230504

**Glenn Donald Hunter, Kyle William Watters,
HW & Associates Inc., and HW TradeFX LLC**

Background

1. Staff (**Staff**) of the Alberta Securities Commission (the **Commission**) issued a Notice of Hearing dated April 25, 2023 (the **Notice of Hearing**), naming the following as respondents: Glenn Donald Hunter (**Hunter**), Kyle William Watters (**Watters**), HW & Associates Inc. (**HW**), and HW TradeFX LLC (**TradeFX**, and together with Hunter, Watters and HW, the **Respondents**).
2. The Notice of Hearing states that Staff are investigating whether the Respondents contravened Alberta securities laws (the **Investigation**).
3. Staff sought an interim order pursuant to sections 33 and 198 of the *Securities Act* (Alberta) (the **Act**), that for a period of 12 months:
 - (a) the Respondents cease purchasing or trading in any securities or derivatives;
 - (b) all of the exemptions contained in Alberta securities laws do not apply to the Respondents;
 - (c) the Respondents are prohibited from acting as registrants; and
 - (d) such further and other orders as the Commission considers appropriate in the public interest.
4. Staff also sought an order directing that the affidavit filed in support of Staff's application, and all other evidence admitted at the hearing of Staff's application must remain confidential and shall not be divulged except in accordance with s. 45 of the Act.
5. The Commission has received and considered the documentary evidence submitted by Staff and the submissions made by both Staff and the Respondents.

Order

6. Considering that the length of time required to conduct a hearing and render a decision could be prejudicial to the public interest and that it is in the public interest to make this

order, the Commission orders under sections 33 and 198(1) of the Act that for a period of 12 months from the date of this order:

- (a) the Respondents must cease trading in or purchasing any securities or derivatives, with the exception that Hunter and Watters are permitted to trade in or purchase securities or derivatives for their sole financial benefit, in accounts registered in their own names and over which they have sole beneficial ownership; for greater certainty, Hunter and Watters are prohibited from trading in or purchasing securities or derivatives in any accounts that directly or indirectly, through any mechanism, cause or otherwise allow the trading or purchasing activity of Hunter or Watters to be applied or replicated in accounts beneficially owned by third-parties;
 - (b) the Respondents are denied the use of all exemptions contained in Alberta securities laws; and
 - (c) the Respondents are prohibited from acting as registrants.
8. The Commission also orders that the affidavit filed in support of Staff's application, and all other evidence admitted at the hearing of Staff's application must remain confidential and shall not be divulged except in accordance with s. 45 of the Act.

May 4, 2023

For the Commission:

"original signed by"
Kari Horn

"original signed by"
Tom Cotter