

ALBERTA SECURITIES COMMISSION

BLANKET ORDER 21-502

Citation: Exemption from Filing Time Requirement for Changes to Form 21-101F1  
Exhibit N (Fees), Re, 2011 ABASC 351

Date: 20110622

**Definitions**

1. Terms defined in the *Securities Act*, R.S.A. 2000, c. S-4 or in National Instrument 14-101 *Definitions* have the same meaning in this order.

**Background**

2. Section 3.2(1) of National Instrument 21-101 *Marketplace Operation* (NI 21-101) requires that, at least 45 days before implementing a significant change to a matter set out in Form 21-101F1 (the **Form**), a recognized exchange file the information describing the change in the manner set out in the Form (the **Filing Time Requirement**).
3. In Companion Policy 21-101CP to NI 21-101, the Canadian securities regulatory authorities express the view that a change to the information contained in Exhibit N (Fees) of the Form (**Exhibit N**) is a significant change.
4. The Commission considers that providing recognized exchanges with limited blanket relief from the Filing Time Requirement for changes to information contained in Exhibit N would not be prejudicial to the public interest.

**Order**

5. Pursuant to section 15.1 of NI 21-101, a recognized exchange is exempt from the Filing Time Requirement for a change to the information contained in Exhibit N if it files, in the manner set out in the Form, the information describing the change at least seven business days before implementing the change.

This order takes effect on June 22, 2011.

“original signed by”

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William Rice, QC  
Chair

“original signed by”

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Glenda Campbell, QC  
Vice-Chair