

## APPENDIX H

### PART 1 Amendment To Alberta Securities Commission Rules (General)

**1.1** The Alberta Securities Commission Rules (General) are amended by this Instrument.

**1.2** Section 31 is amended by adding the following after subsection (6):

(7) Subsection (6) does not apply in the case of an investment counsel acting as portfolio manager of an investment fund with respect to a purchase or sale of a security referred to in section 6.1 of National Instrument 81-107 *Independent Review Committee for Investment Funds* if made in accordance with that section.

*Note: existing section 31(6):*

*31(6) No purchase or sale of any security in which an investment counsel or portfolio manager or any partner, officer or associate of an investment counsel or portfolio manager has a direct or indirect beneficial interest shall be made from or to any portfolio managed or supervised by the investment counsel or portfolio manager.*