

Amendments to Multilateral Instrument 31-102
National Registration Database

PART 1 AMENDMENTS

1.1 Amendments

- (1) The title of Multilateral Instrument 31-102 National Registration Database is amended by striking out “Multilateral” and substituting “National”.
- (2) The table of contents of the Instrument is amended by
 - (a) striking out the following:

PART 7 TRANSITION

- 7.1 Definitions
- 7.2 NRD Enrolment for Transition Firms
- 7.3 NRD Submissions before NRD Access Date
- 7.4 Accuracy of Business Location Information
- 7.5 Individuals Included in the Data Transfer
- 7.6 Individuals not Included in the Data Transfer
- 7.7 Changes to Form 4 Information – Registered Individuals
- 7.8 Changes to Form 4 Information – Non-registered Individuals
- 7.9 Pending Application to Change Individual’s Registration Category
- 7.10 Currency of Form 33-109F4
- 7.11 Termination or Cessation of Relationship

PART 8 EFFECTIVE DATE

- 8.1 Effective Date

- (b) adding the following after “6.1 Exemption”:

PART 7 INCONSISTENT PROVISIONS

- 7.1 Inconsistent Provisions

- (3) Section 1.1 of the Instrument is amended by striking out the definition of “MI 33-109” and substituting the following:

“NI 33-109” means National Instrument 33-109 Registration Information,
- (4) The definition of “NRD number” in section 1.1 of the Instrument is amended by striking out “non-registered individual” and substituting “permitted individual”.
- (5) Paragraph 3.1(1)(b) of the Instrument is amended by striking out “non-registered individual” and substituting “permitted individual”.

- (6) Subsection 5.1(4) of the Instrument is amended by striking out “MULTILATERAL” and substituting “NATIONAL”.
- (7) Section 6.1 of the Instrument is amended by adding the following subsection after subsection 6.1(2):

- (3) Except in Ontario, an exemption referred to in subsection (1) is granted under the statute referred to in Appendix B of National Instrument 14-101 Definitions, opposite the name of the local jurisdiction.

- (8) Part 7 of the Instrument is repealed and the following is substituted:

PART 7 INCONSISTENT PROVISIONS

7.1 Inconsistent Provisions

In Québec, the provisions of this Instrument take precedence over any inconsistent provisions of Title V of the Securities Regulation.

- (9) Part 8 of the Instrument is repealed.

PART 2 EFFECTIVE DATE

- 2.1 This instrument is effective May 15, 2007.