

Note: [28 Sep 2009] – Amendments to NI 81-102 arising from NI 31-103. Refer to Appendix G of CSA Notice announcing implementation of NI 31-103 dated 17 Jul 2009.

AMENDMENTS TO NATIONAL INSTRUMENT 81-102 MUTUAL FUNDS

- 1. *National Instrument 81-102 Mutual Funds is amended by this Instrument.***
- 2. *Section 1.1. is amended in the definition of “specified dealer” by striking out “limited market dealer” and substituting “exempt market dealer”.***
- 3. *Appendix C is amended***
 - (a) *in the column “Jurisdiction”***
 - (i) *by striking out “Alberta”,***
 - (ii) *by striking out “Ontario”, and***
 - (iii) *by striking out “Quebec”.***
 - (b) *in the column “Securities Legislation Reference”***
 - (i) *by striking out “Section 9 of ASC Policy 7.1”,***
 - (ii) *by striking out “Section 227 of Reg. 1015”, and***
 - (iii) *by striking out “Article 236 and 237.1 of the Securities Regulation”.***
- 4. *This Instrument comes into force on the day National Instrument 31-103 Registration Requirements and Exemptions comes into force.***