

Note: [28 Sep 2009] – Amendments to NI 81-105 arising from NI 31-103. Refer to Appendix G of CSA Notice announcing implementation of NI 31-103 dated 17 Jul 2009.

**AMENDMENTS TO NATIONAL INSTRUMENT 81-105
MUTUAL FUND SALES PRACTICES**

- 1. *National Instrument 81-105 Mutual Fund Sales Practices is amended by this Instrument.***
- 2. *Section 1.1. is amended by repealing the definition of “representative” and substituting the following:***

“representative” means, for a participating dealer,

 - (a) a partner, director, officer or employee of the participating dealer,
 - (b) an individual who trades securities on behalf of the participating dealer, whether or not the individual is employed by the dealer, and
 - (c) any company through which a person referred to in paragraphs (a) or (b) carries on activities in connection with services provided to the participating dealer.
- 3. *Section 1.2 is repealed and the following is substituted:***

1.2 Interpretation – Terms defined in National Instrument 81-102 *Mutual Funds* and used in this Instrument have the respective meanings ascribed to them in National Instrument 81-102 *Mutual Funds*.
- 4. *This Instrument comes into force on the day National Instrument 31-103 Registration Requirements and Exemptions comes into force.***