

Note: [01 Jul 2012] - Amendments to NI 23-101. Refer to CSA Notice announcing amendments to NI 23-101 and NI 21-101 dated 23 Mar 2012.

AMENDMENTS TO NATIONAL INSTRUMENT 23-101 TRADING RULES

- 1. National Instrument 23-101 Trading Rules is amended by this Instrument.**
- 2. Part 6 is amended by:**
 - (a) replacing “The following are the trade-throughs referred to in paragraph 6.1(1)(a)” with “For the purposes of paragraph 6.1(1)(a) the permitted trade-throughs are” in section 6.2;**
 - (b) replacing “marketplace participant” with “marketplace participant or a marketplace that routes or reprices orders” in section 6.5.**
- 3. Part 11 is amended by:**
 - (a) replacing “this Part” with “the requirements in section 11.2” in subsection 11.1(2);**
 - (b) replacing “record” with “record in electronic form” in subsection 11.2(1);**
 - (c) replacing “; and” with “;” in paragraph 11.2(1)(r);**
 - (d) replacing “.” with “;” in paragraph 11.2(1)(s);**
 - (e) adding the following after paragraph 11.2(1)(s):**
 - (t) each unique client identifier assigned to a client accessing the marketplace using direct electronic access; and
 - (u) whether the order is a directed-action order.;
 - (f) replacing “records” with “records in electronic form” in subsection 11.2(7).**
- 4. This Instrument comes into force on July 1, 2012.**