

Note: [31 Dec 2012] – Amendments to ASC Rules (General). Refer to ASC Notice announcing proposed ASC Blanket Order 91-505 dated 15 Oct 2012.

ALBERTA SECURITIES COMMISSION

AMENDMENTS TO ALBERTA SECURITIES COMMISSION RULES (GENERAL)

1. *The Alberta Securities Commission Rules (General) are amended by this Instrument.*

2. *Section 8(2) is amended*

(a) *in clause (a) by adding “recognized by the Commission” immediately following the first occurrence of “agency”,*

(b) *in clause (a) by striking out “and”,*

(c) *in clause (b) by striking out “Commission.” and replacing it with “Commission,”, and*

(d) *by adding the following clauses:*

(c) *to trade futures contracts, or classes of futures contracts, on or through the facilities of an exchange recognized by the Commission or exempted by the Commission from the requirement to be recognized as an exchange,*

(d) *to clear trades in futures contracts, or classes of futures contracts, on or through the facilities of a clearing agency recognized by the Commission, and*

(e) *to maintain at least a prescribed minimum excess working capital in respect of trades in futures contracts, or classes of futures contracts, not cleared on or through the facilities of a clearing agency recognized by the Commission.*

3. *This Instrument comes into force on December 31, 2012.*