

ASC Notice Policy 33-601

**NOTICE OF
ALBERTA SECURITIES COMMISSION POLICY 33-601
SURRENDER OF REGISTRATION
AND RESCISSION OF UNIFORM ACT POLICY NO. 2-07**

Implementation of Alberta Securities Commission Policy 33-601

On September 1, 1999, the Alberta Securities Commission (the "Commission") approved Alberta Securities Commission Policy 33-601, Surrender of Registration (the "Policy") with an effective date of September 24, 1999 and rescinded Uniform Act Policy No. 2-07 entitled Surrender of Registration - Other Than Salesman. The text of the Policy is published in the Alberta Securities Commission Weekly Summary of September 24, 1999.

Substance and Purpose of the Policy

The purpose of the Policy is to advise applicants for voluntary surrender of registration that a failure to file a consent to registration pending the review of the application may be considered an indication that acceptance of the surrender would be prejudicial to the public interest.

Written Comments

The Policy was published in draft form for comment in the Alberta Securities Commission Bulletin on March 26, 1999((1999) 8 ASCS 787). No comments were received with respect to the Policy and no changes have been made to the draft.

Revocation of Uniform Act Policy No. 2-07

Effective September 24, 1999, Uniform Act Policy No. 2-07 is rescinded and replaced by the Policy.

DATED: September 24, 1999