

Alberta Securities Commission Policy 33-601

Upon receipt of an application by a registrant, other than a salesman, partner or officer, for surrender of registration, the Executive Director may consider a failure to file with that application a consent by the registrant to a suspension of registration, with an effective date identical to the effective date of the surrender of registration, as an indication that it would be prejudicial to the public interest to accept the surrender of registration.

WITHDRAWN PER CSA NOTICE 11-313