

FORM 7

**APPLICATION FOR AMENDMENT OF REGISTRATION
AS DEALER, ADVISER OR UNDERWRITER**

Name of Registrant _____

Application is made for amendment to our existing registration as:

under the Securities Act and the following statements of fact are made in respect thereof.

1 Attached hereto and marked as Exhibit 1 to the application is a statement of particulars of the following:

- (a) any change in the address for service;
- (b) any change in a business address;
- (c) any change in the officers or directors;
- (d) any change in the holders of voting securities;
- (e) the commencement and termination of every salesman;
- (f) the opening and closing of any branch office in Alberta and in the case of the opening of any branch office in Alberta, the name and address of the person in charge of it;
- (g) any change in the name or address of the person in charge of any branch office in Alberta.

2 Attached hereto and marked as Exhibit 2 to the application is:

- (a) the name of each new partner, officer or director of the applicant,
- (b) a completed Form 4 for each new partner or officer of the applicant seeking registration, and
- (c) for each new partner, officer or director not referred to in clause (b), the information required by Form 4 excluding questions 4, 7 and 10 and Part D thereof.

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Dated at _____
(name of applicant)

this ____ day of ____ 19__

By _____
(Signature of applicant, partner
or officer)

(official capacity)

AFFIDAVIT

In the Matter of the Securities Act

Province of Alberta) I, _____
) (name in full)
)
_____ of _____) of the _____
)
) in the ____ of _____

MAKE OATH AND SAY:

- 1 I am the applicant (or a partner or officer of the applicant) for amendment to registration and I signed the application.
- 2 The statements of fact made in the application for amendment to the registration are true.

SWORN before me at the _____)
)
in the _____ of _____)
)
this ____ day of _____)
19 __) (Signature of deponent)
)
)

(A Commissioner, etc.)

IT IS AN OFFENCE UNDER THE *SECURITIES ACT*, THE *SECURITIES REGULATION* AND THE *ALBERTA SECURITIES COMMISSION RULES* FOR A PERSON OR COMPANY TO MAKE A STATEMENT IN A DOCUMENT REQUIRED TO BE FILED OR FURNISHED UNDER THE ACT OR THE REGULATIONS THAT, AT THE TIME AND IN THE LIGHT OF THE CIRCUMSTANCES UNDER WHICH IT IS MADE, IS A MISREPRESENTATION.

ANY FEE PAYABLE UNDER THE *SECURITIES ACT*, THE *ALBERTA SECURITIES COMMISSION RULES* AND THE *SECURITIES REGULATION* SHALL BE PAID TO THE ALBERTA SECURITIES COMMISSION. ANY FAILURE TO ACCOMPANY A

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FORM OR APPLICATION WITH THE PRESCRIBED FEE MAY
RESULT IN THE RETURN OF THAT FORM OR APPLICATION.