

AMENDED NATIONAL POLICY No. 17

**VIOLATIONS OF SECURITIES LAWS OF OTHER
JURISDICTIONS - CONDUCT AFFECTING FITNESS
FOR CONTINUED REGISTRATION**

Notice is hereby given to all securities registrants that violations of the securities laws of any jurisdiction and violations of the rules of a recognized self-regulatory organization that are adopted for the protection of investors are considered in principle to be prejudicial to the public interest and may affect their fitness for continued registration.