

A.S.C. POLICY 3.2
SUSPENSION OF REGISTRATION - CRIMINAL CHARGES PENDING

1. Any registrant charged with a criminal offence may be subject to suspension
 - 1.1 without a hearing, for 15 days, pursuant to section 21 of the Alberta Securities Act, and
 - 1.2 pursuant to section 56 of the Alberta Securities Act after a hearing.
2. The registrant may apply pursuant to section 19(m) of the Act to have the hearing held in confidence. Alternatively, the registrant may apply to have the hearing adjourned pending the outcome of the criminal proceedings.

Effective date: March 15, 1987