

Note: [13 Sep 2023] – Amendments to NI 31-103 arising from NI 14-101. Refer to Annex B of the CSA Notice announcing amendments to NI 14-101 dated 15 Jun 2023.

***Amendments to National Instrument 31-103 Registration Requirements,
Exemptions and Ongoing Registrant Obligations***

- 1. National Instrument 31-103 Registration Requirements, Exemptions and Ongoing Registrant Obligations is amended by this Instrument.***
- 2. Section 1.1 is amended by repealing the definition of “Canadian financial institution”.***
- 3. Subparagraph 8.19(2)(a)(iii) is repealed.***
- 4. Form 31-103F1 is amended by replacing, in the notes pertaining to line 5, “CICA Handbook” with “Handbook”.***
- 5. This Instrument comes into force on September 13, 2023.***