

Note: [30 Oct 2023] – Amendments to Alberta Securities Commission Rules (General) . Refer to Annex A of the ASC Notice announcing amendments dated 19 Oct 2023.

**AMENDMENTS TO
ALBERTA SECURITIES COMMISSION RULES (GENERAL)**

1. *The Alberta Securities Commission Rules (General) are amended by this Instrument.*

2. *Section 28 is amended by adding the following subsection:*

(0.1) In this section, “electronic signature” means electronic information that a person creates or adopts in order to sign a document and that is in, attached to or associated with the document..

3. *Subsection 28(1) is amended*

(a) in paragraph (a) by replacing “shall” with “must comply with one of the following:”;

(b) in paragraph (a) by replacing subparagraphs (i) and (ii) with the following:

- (i) be manually signed and include, below the signature, the name of the individual in typewritten or printed form,
- (ii) be electronically signed, and use an electronic signature that
 - (A) together with any accompanying information, is capable of identifying the signatory,
 - (B) is unique to the signatory; and
 - (C) is created using means that the signatory can maintain under the signatory’s sole control,,

(c) by replacing paragraph (b) with the following:

- (b) subject to clause (c), every document that is required or permitted to be filed with the Commission or the Executive Director by a company or person, other than an individual, and that is required to be signed or certified must comply with one of the following:
 - (i) be manually signed by
 - (A) an officer or director of the person or company, or an individual acting in a capacity similar to that of an officer or director, and include, below the signature, the name of the officer, director, or individual acting in a capacity similar to that of an officer or director in typewritten or printed form, or
 - (B) the attorney or agent of the person or company, and include, below the signature, the name of the attorney or agent in typewritten or printed form,

- (ii) be electronically signed by one of the following:
 - (A) an officer or director of the person or company, or an individual acting in a capacity similar to that of an officer or director, and use an electronic signature that
 - (I) together with any accompanying information, is capable of identifying the signatory,
 - (II) is unique to the signatory, and
 - (III) is created using means that the signatory can maintain under the signatory's sole control, or
 - (B) the attorney or agent of the person or company, and use an electronic signature that
 - (I) together with any accompanying information, is capable of identifying the signatory,
 - (II) is unique to the signatory, and
 - (III) is created using means that the signatory can maintain under the signatory's sole control,,

(d) by replacing paragraph (c) with the following:

- (c) if a partner signs or certifies on behalf of a professional partnership, the partner's name is not required to be signed, but, if an individual other than a partner signs or certifies, the individual must comply with one of the following:
 - (i) the individual must manually sign the individual's name, and the name of the individual must be included below the individual's signature in typewritten or printed form,
 - (ii) the individual must electronically sign the individual's name, and use an electronic signature that
 - (A) together with any accompanying information, is capable of identifying the signatory,
 - (B) is unique to the signatory; and
 - (C) is created using means that the signatory can maintain under the signatory's sole control.,

(e) by deleting the word "and" immediately before paragraph (d),

(f) by repealing paragraph (d).

- 4. This Instrument comes into force on October 30, 2023.