

Note: [03 Mar 2025] – Amendments to ASC Rule 13-501 arising from NI 41-101 and NI 81-101. Refer to Annex G of the CSA Notice announcing amendments to NI 41-101 and NI 81-101 dated 28 Nov 2024.

**AMENDMENTS TO
ALBERTA SECURITIES COMMISSION RULE 13-501 FEES**

1. *Alberta Securities Commission Rule 13-501 Fees is amended by this Instrument.*

2. *Section 1 is amended by adding the following definitions:*

“ETF facts document” has the same meaning as in National Instrument 41-101 *General Prospectus Requirements*;

“fund facts document” has the same meaning as in National Instrument 81-101 *Mutual Fund Prospectus Disclosure*;

3. *Section 9 is amended*

(a) *by replacing subsection (2) with the following:*

(2) Every preliminary or pro forma prospectus filed for a mutual fund that is not required to file a fund facts document or an ETF facts document must be accompanied by a fee in the aggregate amount of \$1200 for each mutual fund.,

(b) *by adding the following subsection:*

(2.1) A fee in the aggregate amount of \$1200 for each mutual fund must accompany the filing of any of the following:

(a) a preliminary or pro forma fund facts document;

(b) a fund facts document referred to in subsection 2.3(5.2) of National Instrument 81-101 *Mutual Fund Prospectus Disclosure*;

(c) a preliminary or pro forma ETF facts document;

(d) an ETF facts document referred to in section 3D.1 of National Instrument 41-101 *General Prospectus Requirements*., **and**

(c) *in subsection (3) by replacing “Despite subsections (1) and (2)” with “Despite subsection (1)”.*

4. ***Section 13 is amended by renumbering it as subsection 13(1) and by adding the following subsection:***
 - (2) Despite subsection (1), the amendment fee described in subsection (1) is not payable when a mutual fund concurrently files either a fund facts document to continue distribution in accordance with paragraph 2.5(3)(a) National Instrument 81-101 *Mutual Fund Prospectus Disclosure* or an ETF facts document to continue distribution in accordance with paragraph 17.3(4)(a) of National Instrument 41-101 *General Prospectus Requirements*..
5. This Instrument comes into force on March 3, 2025.