

**ASC Staff Notice  
Numbering Changes to Securities Act  
Come into Effect January 1, 2002**

***Implementation of Revised Statutes of Alberta 2000***

The Revised Statutes of Alberta 2000 (RSA 2000) will be proclaimed into effect on January 1, 2002. This new revision

- officially consolidates the statutes contained in the Revised Statutes of Alberta 1980 or enacted between January 1, 1981 and December 31, 2000,
- renumbers most Acts,
- introduces gender-neutral terminology,
- corrects outdated references.

***Impact on Securities Act***

As of January 1, 2002, the current version of the Securities Act will be repealed and replaced. The revised version of the Securities Act has been given a new chapter number (ie. RSA 200, c.S-4) and most of its provisions have been renumbered.

We are attaching a table of concordance relating to the provisions of the Securities Act as they existed on December 31, 2000 to the corresponding provisions in the Securities Act, RSA 2000. This table of concordance is intended as a guide to assist the user in relating the statutory provisions of the Securities Act as they existed on December 31, 2000 to the corresponding provisions in the revised Securities Act contained in the Revised Statutes 2000.

***Electronic Version of Securities Act RSA 2000***

A link to the electronic version of the revised Securities Act will be available from the Alberta Securities Commission website located at [www.albertasecurities.com](http://www.albertasecurities.com) by January 1, 2002. Hardbound and softbound versions of the Securities Act and the 13-volume set of RSA 2000 are available through the Alberta Queen's Printer website at [www.gov.ab.ca/qp](http://www.gov.ab.ca/qp) or by calling (780) 427-4952 in Edmonton or (403) 297-6251 in Calgary.

***Updating Commission Rules, Policies, Notices, Blanket Orders and Other Instruments***

Commission staff are in the process of updating ASC rules, policies, notices, blanket orders and other instruments to reflect the revised numbering of Securities Act provisions. This updating process will take some time to complete. In the interim, references to outdated section numbers should be read as referring to the renumbered provisions of the Securities Act, RSA 2000.

***Use of Revised Numbering In Material Filed or Deposited With the Commission***

All documents required to be filed or deposited with the Commission on or after January 1, 2002 should contain updated references to provisions of the Securities Act, RSA 2000.

Dated December 14, 2001

# Alberta Securities Act

## Table of Concordance

All the Public Acts forming the Revised Statutes of Alberta 2000, including the Securities Act, have been given new chapter numbers and most provisions have been renumbered. This Table is intended only as a guide to assist the user in relating the statutory provisions of the Securities Act as they existed on December 31, 2000 to the corresponding provisions in the Securities Act, RSA 2000.

The left-hand column shows the Securities Act as of December 31, 2000 and the centre column shows the revised Securities Act included in the Revised Statutes of Alberta 2000. The "Remarks" column indicates whether certain provisions were repealed by the revision (Rep. by Revision) or omitted from the revision but not repealed (OBNR).

\* Meaning of the terms in "Remarks" column

- **Rep. by Revision** – enactment has been omitted from and repealed by the revision

DECEMBER 31, 2000	RSA 2000	REMARKS
<b>Securities Act, cS-6.1</b>	<b>Securities Act, cS-4</b>	
1-9	1-9	
9.1, 10	10, 11	
10.1, 11	12, 13	
11.1	14	
12, 13	15, 16	
13.1-13.5	17-21	
14-17	22-25	
17.1, 17.2	26, 27	
18, 19	28, 29	
19.1, 19.2	30, 31	
20-22	32-34	
24(1), (1.1), (2)	35(1), (2), (3)	
25, 25.1	36, 37	
26, 27	38, 39	
27.1, 28	40, 41	
29(1), (1.1), (2)-(10)	42(1), (2), (3)-(11)	
30, 31	43, 44	
34, 35	45, 46	
37(1)	47(1), (2)	
37(2), (3)-(7)	47(3), (4)-(8)	
38-50	48-60	
51.1, 52	61, 62	
53(1), (2), (2.1), (3), (4)	63(1), (2), (3), (4), (5)	
53.1(1)-(4), (4.1), (5)	64(1)-(4), (5), (6)	
53.2-53.4	65-67	
53.41-53.44	68-71	
53.5-53.7	72-74	
54(1), (1.1), (1.2), (2)-(5)	75(1), (2), (3), (4)-(7)	
55-66	76-87	
66.1	88	
67-70	89-92	
70.1	93	

71-74	94-97
74.1, 75	98, 99
75.1	100
76-80	101-105
80.1-80.4	106-109
81-85	110-114
89(1), (3)-(5)	115(1), (2)-(4)
90(1), (2), (2.1), (3)-(7)	116(1), (2), (3), (4)-(8)
91, 92	117, 118
95.1, 96	119, 120
97(1), (2), (2.1), (3)-(5), (6)-(8)	121(1), (2), (3), (4)-(6), (7)-(9)
98-108	122-132
108.1, 109	133, 134
109.1, 110	135, 136
110.1, 110.2	137, 138
111-115	139-143
116(1), (1.1), (2)-(5)	144(1), (2), (3)-(6)
117-129	145-157
131, 131.1	158, 159
131.2, 132	160, 161
133, 133.1	162, 163
134, 134.1	164, 165
135, 135.1	166, 167
136, 137	168, 169
137.1, 137.2	170, 171
138, 139	172, 173
139.1	174
140-147	175-182
150-161	183-194
161.1	195
163-165	196-198
165.1	199
166.2	200
167, 167.1	201, 202
168(1), (2), (2.1), (3)-(13)	203(1), (2), (3), (4)-(14)
168.1	204
169-175	205-211
184-187	212-215
187.1	216
188-193	217-222
196	223
196.1-196.7	224-230
197	231
198-200	

Rep. by Revision