

ALBERTA SECURITIES COMMISSION

REVOCATION ORDER

Citation: Re Neo Exchange Inc., 2021 ABASC 46

Date: 20210414

Neo Exchange Inc. and Aequitas Innovations Inc.

**Background**

1. Neo Exchange Inc. (NEO, formerly Aequitas Neo Exchange Inc.) and Aequitas Innovations Inc. (**Aequitas** and, together with NEO, the **Applicants**) are each subject to a December 10, 2014 order cited as *Re Aequitas Neo Exchange Inc.*, 2014 ABASC 493 (the **Exemption Order**) of the Alberta Securities Commission (the **Commission**) exempting the Applicants from section 62 of the *Securities Act* (Alberta) (the **Act**) for so long as each of the Applicants satisfies the undertakings in the Exemption Order.
2. The Applicants are also subject to a decision dated December 3, 2014, as amended and restated on April 12, 2021 cited as *Re Aequitas Innovations Inc. and Neo Exchange Inc.*, décision n°2021-SMV-0021 (the **Decision**) exempting the Applicants from the requirements to be recognized as an exchange issued by the Autorité des marchés financiers, as principal exempting regulator under National Policy 11-203 *Process for Exemptive Relief Applications in Multiple Jurisdictions* (the **NP 11-203**).
3. The Commission opted-in to the Decision on March 30, 2021 and therefore will be revoking the Exemption Order.

**Order**

4. The Commission, considering that it would not be prejudicial to the public interest to do so, under section 214(1) of the Act, revokes the Exemption Order.
5. This order takes effect on April 12, 2021.

**For the Commission:**

*"original signed by"*

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Tom Cotter  
Vice-Chair

*"original signed by"*

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Kari Horn  
Vice-Chair