

The list below displays **ACTIVE** Alberta based registrant firms on the National Registrant Database as of the report date.

For these and all other firms that are currently registered with securities regulatory authorities in Canada, refer to the National Registration Search on the CSA website link below:

<https://www.securities-administrators.ca/nrs/nrsearchprep.aspx>

### Registration Category Legends:

EMD - Exempt Market Dealer  
MFD - Mutual Fund Dealer  
SPD - Scholarship Plan Dealer

ID - Investment Dealer  
RD - Restricted Dealer

PM - Portfolio Manager  
RPM - Restricted Portfolio Manager  
IFM - Investment Fund Manager

Individuals seeking information in relation to the contact information or registration categories of a registered firm that has its head office located in Alberta may wish to refer to this list. Every effort is made to ensure the accuracy of the information contained in the list, however we cannot guarantee same.

A registered firm that has its head office located in Alberta that does not appear on the list or has identified other inaccuracies with the information contained in the list should contact ASC registration staff promptly at: [registration@asc.ca](mailto:registration@asc.ca). If appropriate, the list will be modified as necessary.

Name	Registration Category	Head Office Address	Telephone	Website
32 DEGREES CAPITAL ADVISOR LTD.	EMD/IFM/RPM	650, 635- 8th Avenue SW Calgary AB T2P3M3	403-695-1075	<a href="https://www.32degrees.ca">https://www.32degrees.ca</a>
ABEL CREEK MANAGEMENT INC.	IFM/RPM	129 Rocky Vista Terrace NW Calgary AB T3G 5G6	403-473-2294	<a href="https://www.abelcreek.com">https://www.abelcreek.com</a>
ACCELERATE FINANCIAL TECHNOLOGIES INC.	EMD/IFM/PM	300, 524-17 Ave. SW Calgary AB T2S0B2	403-801-2445	<a href="http://www.accelerateshares.com">www.accelerateshares.com</a>
ACUMEN CAPITAL FINANCE PARTNERS LIMITED	ID	500 - 4th Ave. SW Suite 800 Calgary AB T2P 2V6	403-571-0300	<a href="http://www.acumencapital.com">www.acumencapital.com</a>
ALCEDIO SECURITIES INC.	EMD	Suite 1100 634 - 6 Ave SW Calgary AB T2P 0S4	403-809-7001	<a href="https://www.alcediocapital.ca">https://www.alcediocapital.ca</a>
ALTA WEST MORTGAGE CAPITAL CORPORATION	EMD/IFM/RPM	Suite #600 11012 Macleod Tr. SE Calgary AB T2J 6A5	1-888-554-9075	<a href="https://www.awcapital.ca">https://www.awcapital.ca</a>
ANNAPOLIS CAPITAL LIMITED	EMD/IFM/RPM	1100, 110-9th Avenue,SW Calgary AB T2P 0T1	403-231-4430	<a href="http://www.annapoliscapital.ca">www.annapoliscapital.ca</a>
ARC FINANCIAL CORP.	EMD/IFM/RPM	400 3rd Avenue SW Suite 4300 Calgary AB T2P4H2	403-292-0680	<a href="https://www.arcfinancial.com">https://www.arcfinancial.com</a>
ATB CAPITAL MARKETS INC.	ID	#410, 585 - 8 Ave. SW Calgary AB T2P 1G1	403-539-8600	<a href="http://www.atbcapitalmarkets.com">www.atbcapitalmarkets.com</a>
ATB INVESTMENT MANAGEMENT INC.	IFM/PM	21st Floor, 10020-100 Street NW Edmonton AB T5J 0N3	780-408-7167	<a href="https://www.atb.com/wealth">https://www.atb.com/wealth</a>
ATB SECURITIES INC.	ID	2100, 10020 100 Street NW Edmonton AB T5J 0N3	780-408-7284	<a href="https://www.atb.com/wealth">https://www.atb.com/wealth</a>
ATLAS CAPITAL INC.	EMD	Dome Tower Suite 1900 333 7 Ave SW Calgary AB T2P 2Z1	403-984-1943	<a href="https://www.atlascapital.ca">https://www.atlascapital.ca</a>
AUSPICE CAPITAL ADVISORS LTD.	EMD/IFM/PM	Suite 510, 1000 - 7th Avenue SW Calgary AlbertaT2P 5L5	888-792-9291	<a href="https://www.auspicecapital.com">https://www.auspicecapital.com</a>

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ACCESS CAPITAL ADVISORS INC.	EMD/IFM/RPM	Suite 210 221 62 Ave SE Calgary AB T2H 0R5	403-225-4575	<a href="https://www.axcesscapital.com">https://www.axcesscapital.com</a>
BELAY WEALTH INC.	MFD	111, 8855 Macleod Trail S. Calgary AB T2H 0M2	587-393-7876	<a href="https://www.belaywealth.com">https://www.belaywealth.com</a>
BERINGER CAPITAL PARTNERS LTD.	EMD	Suite 928 903 - 8th Avenue SW Calgary AB T2P 0P7	403-615-3274	<a href="http://www.beringercapital.ca">www.beringercapital.ca</a>
BROWNSTONE ASSET MANAGEMENT INC.	PM	#300, 229 - 11th Avenue SE Calgary AB T2G 0Y1	403-262-2250	<a href="https://www.brownstoneam.com">https://www.brownstoneam.com</a>
BUILDERS CAPITAL MANAGEMENT CORP.	EMD/IFM/RPM	260 1414 8 St. SW Calgary AB T2R 1J6	403-985-9888	<a href="http://www.builderscapital.ca">www.builderscapital.ca</a>
CALROCK BROKERS INC.	RD	#2610, 225 6th Ave SW Calgary AB T2P 1N2	403-817-2160	
CALVERT HOME MORTGAGE INVESTMENT CORPORATION	EMD/IFM/RPM	127, 808 42 Avenue SE Calgary AB T2G 1Y9	403-278-0249	<a href="https://www.chmic.ca">https://www.chmic.ca</a>
CAMBER CAPITAL CORP	EMD/PM	1900 333-7th Ave SW Calgary AB T2P 2Z1	587-392-6018	<a href="https://www.cambercapital.com/">https://www.cambercapital.com/</a>
CANADIAN WESTERN FINANCIAL LTD.	MFD	#3000, 10303 Jasper Avenue Edmonton AB T5J3X6	780-423-8888	<a href="http://www.canadianwesternfinancial.com">www.canadianwesternfinancial.com</a>
CANOE FINANCIAL LP	EMD/IFM/PM	Suite 2750, 421- 7th Avenue SW Calgary AB T2P 4K9	403-571-5550	<a href="http://www.canoefinancial.com">www.canoefinancial.com</a>
CAPLINK FINANCIAL CORPORATION	EMD/IFM/RPM	Suite 1000, 8215 - 112 Street Edmonton AB T6G 2C8	780-429-0114	<a href="https://www.caplink.ca">https://www.caplink.ca</a>
CARBON INFRASTRUCTURE PARTNERS CORP.	EMD/IFM/RPM	Suite 2370 440 - 2 Ave. SW Calgary AB T2P 5E9	403-232-3340	<a href="https://www.ioqcapital.com">https://www.ioqcapital.com</a>
CARECANA MANAGEMENT CORP.	IFM/RPM	Suite 1800 555-4th Avenue SW Calgary AB T2P 3E7	403-648-3613	<a href="http://www.carecanacorp.com">www.carecanacorp.com</a>
CEDAR PEAKS MANAGEMENT CORP.	EMD	#104 - 7370 Sierra Morena Blvd SW Calgary ABT3H 4H9	403-249-2007	<a href="http://www.cedarpeaks mortgage.ca">www.cedarpeaks mortgage.ca</a>
CORNERSTONE INVESTMENT COUNSEL LTD.	IFM/PM	438 11th Ave SE Suite 601 Calgary Alberta T2G0Y4	403-266-5360	<a href="https://www.myfiduciary.ca">https://www.myfiduciary.ca</a>
CROWN CAPITAL PARTNERS INC.	EMD/IFM/PM	700 2nd Street SW, 19th Floor Calgary AB T2P 2W2	403-775-2554	<a href="https://www.crowncapital.ca">https://www.crowncapital.ca</a>
CVC MARKET POINT INC.	EMD	Suite 1800 555-4th Avenue SW Calgary AB T2P 3E7	403-538-5837	<a href="https://www.cvcmarketpoint.com">https://www.cvcmarketpoint.com</a>
CWB MCLEAN & PARTNERS WEALTH MANAGEMENT LTD.	ID/IFM	801 10th Avenue S.W. Calgary AB T2R 0B4	403-234-6100	<a href="https://www.cwbmcleanpartners.com">https://www.cwbmcleanpartners.com</a>
CWB WEALTH MANAGEMENT LTD. / CWB GESTION DE PATRIMOINE LTEE	EMD/IFM/PM	3000-10303 Jasper Avenue NW Edmonton AB T5J3X6	780-429-3500	<a href="https://www.cwbwealth.com">https://www.cwbwealth.com</a>
D.W.GOOD INVESTMENT COMPANY LIMITED	MFD	Suite 252 Bonnie Doon Shopping Centre 82 Ave. & 83 Street Edmonton AB T6C 4E3	780-433-5449	<a href="http://www.dwgood.com">www.dwgood.com</a>

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EAGLES EDGE CAPITAL CORPORATION	EMD/IFM/RPM	#216, 3722- 57 Avenue Red deer AB T4N 4R7	1-888-346-0808	<a href="http://www.ricrd.ca">www.ricrd.ca</a>
ELEVATE FINANCIAL CORP.	EMD/IFM/RPM	#513, 14032 23 Avenue NW Edmonton AB T6R 3L6	780-328-6489	
EMERGING EQUITIES INC.	ID	628 Rideau Road S.W. Calgary AB T2S 0R6	403-216-8200	<a href="http://www.emergingequities.ca">http://www.emergingequities.ca</a>
FREETHINK CAPITAL INC.	EMD/IFM/PM	313,11523-100 Avenue Edmonton AB T5K 0J8	780-443-6980	<a href="http://www.freethinkcapital.com">www.freethinkcapital.com</a>
GLOBAL WEALTH BUILDERS LTD.	IFM/PM	Ste. 105, Mayfield Business Centre 10525-170 Street Edmonton AB T5P 4W2	780-488-2858	<a href="http://www.gwealth.ca">http://www.gwealth.ca</a>
GOLD INVESTMENT MANAGEMENT LTD.	PM	Suite 502 Fox One 10226 - 104 Street NW Edmonton AB T5J 1B8	780-436-9955	<a href="https://www.gold-im.com">https://www.gold-im.com</a>
GRACORP CAPITAL ADVISORS LTD.	EMD	10840,27th Street SE Calgary AB T2Z3R6	403-570-5000	<a href="http://www.gracorpcapital.com">www.gracorpcapital.com</a>
GRAFTON ASSET MANAGEMENT INC.	EMD/IFM/PM	1800, 421 - 7th Avenue SW Calgary AlbertaT2P 4K9	403-261-2747	<a href="https://www.graftonfunds.com">https://www.graftonfunds.com</a>
GRAYHAWK INVESTMENT STRATEGIES INC.	EMD/IFM/PM	1811 4th Street SW, Suite 513 Calgary AB T2S 1W2	1-877-665-9951	<a href="https://www.grayhawk.investments">https://www.grayhawk.investments</a>
HEMISPHERE CAPITAL MANAGEMENT INC.	IFM/PM	Life Plaza Suite 603, 734-7th Avenue S.W. Calgary AB T2P3P8	403-205-3533	<a href="https://www.hemisphere.ca">https://www.hemisphere.ca</a>
HIGH LEVEL WEALTH MANAGEMENT INC.	PM	11212 99 Ave. NW Edmonton AB T5K 0G8	780-851-8088	<a href="http://www.highlevelwealth.ca">www.highlevelwealth.ca</a>
ICM INVESTMENT MANAGEMENT INC.	EMD/IFM/PM	700,404-6 Avenue SW Calgary AB T2P 0R9	403-256-5350	<a href="https://www.icmassetmanagement.com">https://www.icmassetmanagement.com</a>
INVICO CAPITAL CORPORATION	IFM/PM	600, 209 - 8th Avenue SW Calgary AB T2P 1B8	403-538-4771	<a href="https://www.invicocapital.com">https://www.invicocapital.com</a>
IRIS ASSET MANAGEMENT LTD.	PM	Suite 440, 255 5th Avenue S.W. Calgary AB T2P 3G6	403-719-1111	<a href="http://www.irisassetmanagement.com">www.irisassetmanagement.com</a>
JAYCAP FINANCIAL LTD.	EMD/IFM/RPM	1220,10665 Jasper Avenue Edmonton AB T5J 3S9	780-641-0555	<a href="http://www.jaycapfinancial.com">www.jaycapfinancial.com</a>
KAYAK CAPITAL MANAGEMENT INC.	EMD/IFM/PM	Upper 313 23 Ave SW Calgary AB T2S 0J3	403-457-4758	<a href="https://www.kayakcapital.ca">https://www.kayakcapital.ca</a>
KINSTED WEALTH INC.	IFM/PM	605 5 Ave SW Suite 3000 Calgary AB T2P 3H5	403-532-8258	<a href="http://www.kinsted.com">www.kinsted.com</a>
KV CAPITAL INC.	EMD/IFM/RPM	Suite 101 1290-91 Street SW Edmonton AB T6X 0P2	780-433-1222	<a href="https://www.kvcapital.ca">https://www.kvcapital.ca</a>
LEEDE JONES GABLE INC.	ID	#3415, 421 - 7th Avenue SW TD Canada Trust Tower Calgary AB T2P 4K9	403-531-6800	<a href="https://leedejonesgable.com">https://leedejonesgable.com</a>
LIBERTY HOUSE ASSET MANAGEMENT INC.	PM	Suite 100 505, 3 Street SW Calgary AB T2P 3E6	403-803-1892	

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LIBERTY MORTGAGE SERVICES LTD.	EMD/IFM/RPM	Unit 100, 7710 - 5 Street SE Calgary AB T2H 2L9	403-252-1977	<a href="http://www.libertymortgage.ca">www.libertymortgage.ca</a>
LIFEWELTH INC.	EMD/PM	401-3332 20 Street SW Calgary AB T2T 6T9	403-230-0151	
LIGHTYEAR CAPITAL INC.	ID	202 - 6TH AVENUE SW SUITE 660 Calgary AB T2P 2R9	403-218-1400	<a href="https://www.lightyearcapital.com">https://www.lightyearcapital.com</a>
LINK PLAN MANAGEMENT INC.	PM	Suite 700, 707- 7 Avenue SW Calgary AB T2P 3H6	403-802-6020	<a href="https://www.linkplanmanagement.com">https://www.linkplanmanagement.com</a>
Longbow Capital Inc	EMD/IFM/RPM	Suite 701, 421 7th Avenue SW Calgary Alberta T2P 4K9	403-264-1888	<a href="http://www.longbowcapital.com">www.longbowcapital.com</a>
LUNDQUIST INVESTMENT MANAGEMENT INC.	PM	Calgary, AB	403-483-2179	<a href="mailto:leslie.lundquist@lundquistimi.com">email: leslie.lundquist@lundquistimi.com</a>
MAREX SPECTRON INTERNATIONAL LIMITED	RD	110- 9th Avenue SW Calgary AB T2P 0T1	+44-020-7655-6000	<a href="http://www.marexspectron.com">www.marexspectron.com</a>
MATCO FINANCIAL INC.	MFD/IFM/PM	Suite 350, 440-2nd Avenue SW Calgary AB T2P 5E9	403-539-5740	<a href="http://www.matcofinancialinc.com">www.matcofinancialinc.com</a>
MAWER INVESTMENT MANAGEMENT LTD.	MFD/IFM/PM	600, 517 10 Avenue SW Calgary AB T2R 0A8	403-262-4673	<a href="https://www.mawer.com">https://www.mawer.com</a>
MIDDLEFIELD LIMITED	IFM	812 Memorial Drive NW Calgary AB T2N 3C8	403-269-2100	<a href="http://www.middlefield.com">www.middlefield.com</a>
MILESTONE WEALTH MANAGEMENT LTD.	PM	Suite 207 322-11th Avenue SW Calgary AB T2R 0C5	403-531-2444	<a href="http://www.milestonewealth.ca">www.milestonewealth.ca</a>
MIRADOR CORPORATION	IFM/PM	8936 Bay Ridge Drive SW Calgary AB T2V 3M8	403-718-0125	<a href="https://www.miradorwealth.com">https://www.miradorwealth.com</a>
MIZUHO SECURITIES CANADA INC.	ID	1271 Avenue of the Americas Floor 2 New york, NY USA 10020	212-209-9300	<a href="https://www.mizho-sc.com">https://www.mizho-sc.com</a>
MNP CORPORATE FINANCE INC.	EMD	Suite 2000 330-5 Avenue SW Calgary AB T2P 0L4	403-269-1011	<a href="https://www.mnp.ca/en/services/corporate-finance">https://www.mnp.ca/en/services/corporate-finance</a>
MORBANK FUND MANAGEMENT INC.	EMD/IFM/RPM	P.O. Box 96090 West Springs Calgary AB T3H 4B5	403-205-4110	<a href="http://www.morbank.ca">www.morbank.ca</a>
MORGAN STANLEY WEALTH MANAGEMENT CANADA INC.	ID	#1500, 600 - 3rd Avenue SW Calgary AB T2P 0G5	403-351-3900	<a href="https://www.morganstanley.com/about-us/global-offices/americas/canada">https://www.morganstanley.com/about-us/global-offices/americas/canada</a>
MOUNTAIN INVESTMENT CORP.	EMD/IFM/RPM	#2299 246 Stewart Green SW Calgary AB T3H 3C8	403-764-0517	<a href="https://www.micorp.ca">https://www.micorp.ca</a>
NATCAN TRUST COMPANY	PM	1155, rue Metcalfe 5e ,tage Montr,al QC H3B 4S9	800-463-6643	<a href="https://www.nbc.ca">https://www.nbc.ca</a>
NCM ASSET MANAGEMENT LTD.	IFM/PM	Dome Tower, Suite 1850 333, 7 Avenue S.W. Calgary AB T2P 2Z1	403-531-2650	<a href="https://www.ncminvestments.com">https://www.ncminvestments.com</a>
NE2 CANADA INC.	RD	Suite 501 322 - 11th Avenue SW Calgary AB T2R 0C5	587-318-3368	<a href="http://www.ne2.ca">www.ne2.ca</a>

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NORTHBRIDGE CAPITAL PARTNERS LTD.	EMD/IFM/RPM	3320, 150 - 6th Avenue S.W. Calgary Alberta	403-269-1166	<a href="http://www.networkcapital.com">www.networkcapital.com</a>
NORTHFRONT FINANCIAL INC.	EMD/IFM/PM	Suite 330 - 23 Sunpark Drive SE Calgary AB T2X 3V1	403-571-8960	<a href="https://www.northfront.com">https://www.northfront.com</a>
ONE EXCHANGE FUTURES CORP.	RD	441- 5 Avenue SW Suite 300 Calgary AB T2P 2V1	403-215-5509	<a href="http://www.oneexchange.com">www.oneexchange.com</a>
PALISADE CAPITAL MANAGEMENT LTD.	EMD/IFM/PM	Suite 1005,444-5 Avenue SW Calgary AB T2P 2T8	403-531-2671	<a href="https://www.palisade.ca">https://www.palisade.ca</a>
PENNANT CAPITAL PARTNERS INC.	EMD	600, 209 - 8th Ave. SW Calgary AB T2P 1B8	403-538-4771	<a href="https://www.pennantcap.com">https://www.pennantcap.com</a>
PERRY SECURITIES LTD.	ID	#102, 10135 - 101 Avenue Grande prairie AB T8V0Y4	780-532-7717	
PETERS & CO. LIMITED	ID	2300 Jamieson Place 308 - 4th Avenue SW Calgary AB T2P 0H7	403-261-4850	<a href="http://www.petersco.com">http://www.petersco.com</a>
PEWTER FINANCIAL LTD	MFD	43 HOLLYBURN ROAD S.W. Calgary AB T2V3H2	403-255-1711	
PINNACLE WEALTH BROKERS INC.	EMD/IFM/PM	Suite 320 - 15 Royal Vista Place NW Calgary AB T3R 0P3	855-628-4286	<a href="http://www.pinnaclewealth.ca">www.pinnaclewealth.ca</a>
PORTFOLIO STRATEGIES CORPORATION	EMD/MFD/IFM	1850-14 Street SW Calgary AB T2T 3S9	403-252-5222	<a href="http://www.portfoliostrategies.ca">http://www.portfoliostrategies.ca</a>
PRIVITI CAPITAL CORPORATION	IFM/RPM	Suite 850, 444- 5th Avenue SW Calgary AB T2P 2T8	403-263-9943	<a href="http://www.privicapital.com">www.privicapital.com</a>
PVM FUTURES, INC.	RD	330 5th Avenue SW Suite 1800 Calgary AB T2P2W1	212-208-2360	<a href="http://www.tpicap.com">www.tpicap.com</a>
QUADRUM CAPITAL CORP.	EMD	640, 340-12 Ave SW Calgary AB T2R 1L5	403-705-0650	<a href="https://www.quadrummortgage.com">https://www.quadrummortgage.com</a>
QUBE INVESTMENT MANAGEMENT INC.	PM	200,9414- 91 Street Edmonton AB T6C 3P4	780-463--2688	<a href="https://www.qubeinvest.ca">https://www.qubeinvest.ca</a>
QV INVESTORS INC. / INVESTISSEURS QV	IFM/PM	Suite 1008, Livingston Place, South Tower 222 - 3rd Avenue SW Calgary AB T2P 0B4	403-265-7007	<a href="http://www.qvinvestors.com">www.qvinvestors.com</a>
RAINTREE FINANCIAL SOLUTIONS INC.	EMD	Westgate Business Park 10243-178 St. Edmonton AB T5S 1M3	780-443-0340	<a href="https://www.raintreeFS.com">https://www.raintreeFS.com</a>
RAINTREE WEALTH MANAGEMENT INC.	IFM/PM	10243-178 Street NW Edmonton AB T5R2A1	780-443-0340	<a href="https://www.raintreewm.com">https://www.raintreewm.com</a>
RAYNE CAPITAL MANAGEMENT INC.	EMD/IFM/PM	#1000, 888 -3rd Street SW Calgary ABT2P5C5	403-263-1991	<a href="https://www.raynecapital.com">https://www.raynecapital.com</a>
REFRACTION ASSET MANAGEMENT LTD.	EMD/IFM/PM	202-6th Avenue SW Suite 1110 Calgary AB T2P 2R9	587-352-5071	<a href="http://www.refractionam.com">www.refractionam.com</a>
RETIRE FIRST LTD.	ID	Suite 101 4610 - 49th Avenue Red deer AB T4N 6M5	403-314-5553	<a href="https://retirefirst.com">https://retirefirst.com</a>

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RICHMOND EQUITY MANAGEMENT LTD.	EMD/IFM/PM	3710, 205 - 5th Avenue Calgary Alberta T2P 2V7	403-538-7332	<a href="https://www.richmondequity.com">https://www.richmondequity.com</a>
ROQ CAPITAL PARTNERS LTD.	EMD/IFM/RPM	1200 - 1015 Fourth Street SW Calgary AB T2R 1J4	403-263-6446ex221	<a href="https://www.paragoncorp.ca">https://www.paragoncorp.ca</a>
RT MOSAIC WEALTH MANAGEMENT LTD.	PM	2160, 300 5th Avenue SW Calgary AB T2P 3C4	403-457-3284	<a href="http://www.rtmosaic.com">www.rtmosaic.com</a>
SANDSTONE ASSET MANAGEMENT INC.	ID/IFM	115, 101 - 6th Street S.W. Calgary AB T2P 5K7	403-218-6125	<a href="https://www.sandstoneam.com">https://www.sandstoneam.com</a>
SECTOR MORTGAGE MANAGEMENT INC.	EMD/IFM/RPM	#112, 205 Carnegie Drive St. albert AB T8N 5B2	780-702-8100	
SHAUNESSY INVESTMENT COUNSEL INC.	PM	615 15th Ave SW Suite 102 Calgary AB T2R 0R4	403-802-3108	<a href="http://www.shaunessy.com">www.shaunessy.com</a>
SHOULDICE WEALTH LTD.	PM	3 Stradbroke Rise SW Calgary AB T3H 1T8	587-316-1881	<a href="https://www.shouldicewealth.com">https://www.shouldicewealth.com</a>
SIA WEALTH MANAGEMENT INC.	EMD/IFM/PM	1700 - 633 6th Ave. S.W. Calgary AB T2P2Y5	403-744-5190	<a href="https://www.siawealth.com">https://www.siawealth.com</a>
SKYON FINANCIAL CORP.	PM	11 Silverado Range Heights SW Calgary AB T2X 0B4	403-462-2418	
SMARTBE WEALTH INC.	EMD/IFM/PM	Suite 680 330-5th Avenue SW Calgary AB T2P0L4	403-930-8688	<a href="https://www.smartbewealth.com">https://www.smartbewealth.com</a>
TEMPUS INVESTOR SERVICES INC.	EMD	200-542 Laura Avenue Red deer AB T4E 0A5	403-346-5533	
TOWER ASSET MANAGEMENT INC.	PM	P.O. Box 4550 Three hills AB T0M 2N0	403-826-5458	<a href="https://www.towerasset.ca">https://www.towerasset.ca</a>
TRANSITION FINANCIAL ADVISORS GROUP, INC.	PM	Suite 106-618, 2487 S Gilbert Road Gilbert AZ USA 85295	480-722-9414	<a href="https://www.transitionfinancial.com">https://www.transitionfinancial.com</a>
TRI VIEW CAPITAL LTD.	EMD	Suite 605, 734 7th Avenue SW Calgary AB T2P 3P8	403-984-6570	<a href="http://www.triviewcapital.com">http://www.triviewcapital.com</a>
TUDOR, PICKERING, HOLT & CO. SECURITIES - CANADA, ULC	ID	#2110, 250 - 6th Avenue SW Calgary AB T2P 3H7	403-705-7830	<a href="http://www.tphco.com/calgary">www.tphco.com/calgary</a>
TULLETT PREBON AMERICAS CORP.	RD	200 Vesey Street 5th Floor New york NY USA 10281	212-208-3806	<a href="https://www.tpicap.com">https://www.tpicap.com</a>
UMC FINANCIAL MANAGEMENT INC.	EMD/IFM/RPM	201, 14020- 128 Avenue Edmonton AB T5L 4M8	780-421-4141	<a href="http://www.umcfinancial.ca">http://www.umcfinancial.ca</a>
UNBIASED PORTFOLIO MANAGEMENT INC.	PM	Suite 5, 1204-3rd Street SE Calgary AB T2G 2S9	403-215-0755	<a href="https://www.unbiasedadvice.com">https://www.unbiasedadvice.com</a>
VESTA WEALTH PARTNERS LTD.	EMD/IFM/PM	Suite 640, 330 5 Ave SW Calgary AB T2P 0L4	403-4753389	<a href="https://www.vestawealth.partners">https://www.vestawealth.partners</a>
VIEWPOINT INVESTMENT PARTNERS CORPORATION	EMD/IFM/PM	4301,400-3rd Avenue Calgary AB T2P4H2	1-844-393-8465	<a href="https://www.viewpointinvestment.ca">https://www.viewpointinvestment.ca</a>

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WEALTHBRIDGE INVESTMENT COUNSEL INC.	PM	200 Doll Block 116 - 8th Ave. SE Calgary AB T2G 0K6	403-669-0543	<a href="http://www.wealthbridgegroup.com">www.wealthbridgegroup.com</a>
WEALTHCO ASSET MANAGEMENT INC.	EMD/IFM/PM	210, 200 Quarry Park Blvd SE Calgary Alberta T2C 5E3	403-537-5853	<a href="https://www.wealthco.ca">https://www.wealthco.ca</a>
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